

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

Brian Eugene Baldwin

Serial No.: 10/630,059

Filed Date: July 30, 2003

Confirmation No.: Not Yet Assigned

Atty. Docket No.: 50012-00027

For: METHOD, SYSTEM, AND  
APPARATUS FOR HANDLING,  
LABELING, FILLING, AND  
CAPPING SYRINGES

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to Applicant's duty of disclosure under 37 C.F.R. § 1.56 and 1.97-1.98, Applicant hereby submits the enclosed PTO Form 1449. The documents identified herein were of record in U.S. Patent Application No. 09/310,595, now U.S. Patent No. 6,304,412, and since the above-identified patent application is a divisional thereof, copies of the identified documents are not being submitted under 37 C.F.R. § 1.98(d). Applicant does not admit that any of such documents, alone or in any combination, is considered to be material to patentability as defined in 37 C.F.R. § 1.56(b). Moreover, the inclusion of these documents are not to be construed as an admission by Applicants that each such document is prior art as to the above-identified patent application.

Respectfully submitted,  
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By:

  
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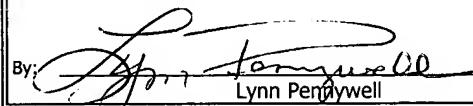
Date: October 8, 2003

**INFORMATION DISCLOSURE  
STATEMENT**

**CERTIFICATE OF MAILING**

I HEREBY CERTIFY THAT this correspondence or fee is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on: 10/8/03

MARSH FISCHMANN & BREYFOGLE LLP

By:   
Lynn Pennywell

## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

OCT 14 2003

ATTY DOCKET NO.  
50012-00027SERIAL NO.  
10/630,059

Brian Eugene Baldwin et al.

FILING

July 30, 2003

GROUP

N/A

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1.	3,597,826	08/10/1971	Shields	29	208 B	
	2.	3,599,389	08/17/1971	Hartman	53	51	
	3.	3,653,176	04/04/1972	Gess	53	64	
	4.	3,662,517	05/16/1972	Tascher et al.	53	282	
	5.	3,683,483	08/15/1972	Klettke	29	208 B	
	6.	3,708,945	01/09/1973	Klettke	53	22 R	
	7.	3,791,009	02/12/1974	Gess	29	208 B	
	8.	3,801,291	04/02/1974	Shields	29	208 B	
	9.	3,802,987	04/09/1974	Noll	156	296	
	10.	3,807,119	04/30/1974	Shields	53	22 R	
	11.	3,823,818	07/16/1974	Shaw	206	390	

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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

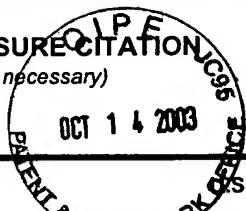

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12.	3,835,897	09/17/1974	Gess	141	98	
13.	4,628,969	12/16/1986	Jurgens, Jr. et al.	141	1	
14.	4,118,914	10/10/1978	Shields	53	282	
15.	4,271,587	06/09/1981	Shields	29	809	
16.	4,718,463	01/12/1988	Jurgens, Jr. et al.	141	11	
17.	4,501,306	02/26/1985	Chu et al.	141	94	
18.	4,815,625	03/28/1989	Filhol et al.	220	23.4	
19.	5,390,469	02/21/1995	Shimizu et al.	53	53	
20.	5,884,457	03/23/1999	Ortiz et al.	53	468	
21.	3,228,129	01/11/1966	Gwinn et al.	40	21	
22.	3,391,694	07/09/1968	Spaeth	128	218	

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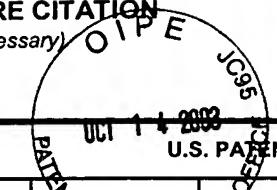
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	24. 3,878,967	04/22/1975	Joslin et al.	221	88	
	25. 3,885,562	05/27/1975	Lampkin	128	218 R	
	26. 4,312,523	01/26/1982	Haines	283	18	
	27. 4,579,759	04/01/1986	Breuers	428	36	
	28. 4,884,827	12/05/1989	Kelley	283	81	
	29. 4,921,277	05/01/1990	McDonough	283	81	
	30. 5,071,168	12/10/1991	Shamos	283	117	
	31. 4,372,681	02/08/1983	Sallenbach	356	72	
	32. 4,763,930	08/16/1988	Matney	283	72	
	33. 4,658,974	04/21/1987	Fujita et al.	215	12 R	

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	34.	3,955,020	05/04/1976	Cavanagh et al.	428	35	
	35.	4,115,939	09/26/1978	Marks	40	310	
	36.	5,468,022	11/21/1995	Linder et al.	283	072	
	37.	5,283,093	02/01/1994	All	428	41	
	38.	4,278,167	07/14/1981	van Rossem	206	45.34	
	39.	3,994,085	11/30/1976	Groselak et al.	40	21 R	
	40.	5,366,429	11/22/1994	Jones	482	136	
	41.	5,410,827	05/02/1995	Smith	40	1.5	
	42.	5,024,347	06/18/1991	Baldwin	222	1	
	43.	5,157,894	10/27/1992	Mini et al.	53	148	
	44.	5,765,345	06/16/1998	Svec	53	453	

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	45.	5,609,712	03/11/1997	Takumi	156	298	
	46.	4,907,394	03/13/1990	Tschepke et al.	53	412	
	47.	4,167,229	09/11/1979	Keusch et al.	206	343	
	48.	4,142,633	03/06/1979	Raghavachari et al.	206	366	
	49.	4,135,561	01/23/1979	Senelonge	141	234	
	50.	4,842,028	06/27/1989	Kaufman et al.	141	114	
	51.	5,651,775	07/29/1997	Walker et al.	604	207	
	52.	5,692,640	12/02/1997	Caulfield et al.	221	70	
	53.	5,954,700	09/21/1999	Kovelman	604	232	
	54.	5,984,901	11/16/1999	Sudo et al.	604	227	
	55.	6,070,761	06/06/2000	Bloom et al.	222	81	

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